01-04 AFS Rec'd PCT/PTO 3 JAN 2006

PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE erwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/550,313 RANSMITTAL Filing Date September 22, 2005 **FORM** First Named Inventor Eldridge et al Art Unit Examiner Name (to be used for all correspondence after initial filing) Attorney Docket Number AM-101319 Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)							
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53		Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revoca Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on Remarks Customer No. 38199 Express Mail No. EQ013089908US	tion e Address CD	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): 31-documents 8 pp. International Search Report			
	SIGNA	TURE OF APPLICANT, ATT	ORNEY, C	OR AGENT			
Firm Name HOWSON AND HOWSON		۱ 					
Signature Mory E. B.		-k					
Printed name	Mary E. Bak						
Date	January 3, 20	0.6	Reg. No.	31,215			

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date Typed or printed name

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Appln. No.

: 10/550,313

Confirmation No.: 8349

Applicant

: Eldridge et al.

Filed

JAN 0 3 2006

: September 22, 2005

TC/A.U.

: unassigned

Examiner

: unassigned

Customer No.

: 38199

Title

: IMMUNOGENIC COMPOSITION AND METHODS

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit to the Examiner the attached Form PTO/SB/08A/B document listing and this paper pursuant to 37 CFR § 1.56 and § 1.97-1.98. Forms PTO/SB/08A/B are attached and copies of the documents are enclosed herewith. This Information Disclosure Statement is submitted three months from the filing date of this application and before the receipt of a first Office Action on the merits. Therefore, no fees are believed due.

The Director is hereby authorized to charge any deficiency in any fees due with the filing of this paper or during the pendency of this application, or credit any overpayment in any fees to our Deposit Account Number 08-3040.

REMARKS

Listed below are documents cited in the International Search Report dated October 8, 2004 in the corresponding International Patent Application No. PCT/US2004/006089, filed March 23, 2004. A copy of the Report is enclosed, together with copies of these documents.

- (BW) SUTTER et al., "Novel Vaccine Delivery Systems: Solutions to HIV Vaccine Dilemmas?", AIDS, 15(S): S139-S145 (2001)
- (BX) ROSE NINA et al., "An Effective AIDS Vaccine Based on Live Attenuated Vesicular Stomatitis Virus Recombinants", Cell, 106(5): 539-549 (September 7, 2001)
- (BY) EGAN et al., "The Use of Cytokines and Chemokines as Genetic Adjuvants for Plasmid DNA Vaccines", Clin. Appl. Immunol. Rev., 2(4-5):255-287 (2002)
- (AV) EO et al., "Prime-Boost Immunization with DNA Vaccine: Mucosal Route of Administration Changes the Rules", J. Immunol., 166(9): 5473-5479 (May 1, 2001)
- (BZ) SHIVER et al., "Replication-Incompetent Adenoviral Vaccine Vector Elicits Effective Anti-Immunodeficiency-Virus Immunity", Nature, 415:331-335 (February 17, 2002)
- (BBR) HAGLUND et al., "High-Level Primary CD8+ T-Cell Response to Human Immunodeficiency Virus Type I Gag and Env Generated by Vaccination with Recombinant Vesicular Stomatitis Viruses", J. Virol., 76(6): 2730-2738 (March, 2002)
- (AL) International Patent Publication No. WO-96/34625-A, published November 7, 1996
- (BBS) ROBERTS et al., "Attenuated Vesicular Stomatitis Viruses as Vaccine Vectors", J. Virol., 73(5): 3723-3732 (May, 1999)
- (AM) International Patent Publication No. WO-02/097091-A, published December 5, 2002.

The month of publication for documents AR, BW, and BY could not be determined. The years of publication for documents AR and BW are sufficiently earlier than the effective US filing date and/or the foreign priority date of the present application so that the particular month of publication of the documents is not in issue (MPEP 609).

The Examiner is respectfully requested to consider the enclosed documents identified in this paper and in the attached Form PTO/SB/08A/B during the course of examination of this application.

Respectfully submitted,

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Complete if Known Application Number 10/550,313 Filing Date September 22, 2005 First Named Inventor Eldridge et al. Art Unit unassigned Examiner Name unassigned Attorney Docket Number AM-101319

U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number Number-Kind Code ^{2(if known)}	Publication Date	Name of Patentee		
Initials*	No.1		MM-DD-YYYY	or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
	AA	us-6,210,663	04-03-2001	ERTL	Figures Appear	
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Examiner Initials*	Cite	Foreign Patent Document				
	No.1	Country Code ³ - Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	T ⁶
	AL	WO-96/34625-A	11-07-1996	ROSE et al.	Figures Appear	
	AM	WO-02/097091-A	12-05-2002	BARBER et al.		

	Examiner		
ĺ	Signature	Date	
*	EXAMINER: Initial if reference considered, whether or not citation is in conformance with MD	Considered	<u> </u>
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INFORMATION DISCLOSURE				Filing Date	September 22, 2005
STATEMENT BY APPLICANT (Use as many sheets as necessary)			APPLICANT	First Named Inventor	Eldridge et al.
				Art Unit	unassigned
			as necessary)	Examiner Name	unassigned
Sheet	2	of	5	Attorney Docket Number	AM-101319

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item, (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	AR	Immunopathol., 19(2): 257-268 (1997)	
	AS	SCHNEIDER et al., "Enhanced Immunogenicity for CD8+ T Cell Induction and Complete Protective Efficacy of Malaria DNA Vaccination by Boosting with Modified Vaccinia Virus Ankara", Nat. Med., 4(4): 397-402 (April, 1998)	
	AT	SEDEGAH et al., "Boosting with Recombinant Vaccinia Increased Immunogenicity and Protective Efficacy of Malaria DNA Vaccine", Proc. Natl. Acad. Sci., USA, 95: 7648-7653 (June, 1998)	
	AU	ROGERS et al., "Multistage Multiantigen Heterologous Prime Boost Vaccine for Plasmodium knolesi Malaria Provides Partial Protection in Rhesus Macaques", Infect. and Immunol., 69(9): 5565-5572 (September, 2001)	
	ΑV	EO et al., "Prime-Boost Immunization with DNA Vaccine: Mucosal Route of Administration Changes the Rules", J. Immunol., 166(9): 5473-5479 (May 1, 2001)	
	AW	RAMSHAW et al., "The Prime-Boost Strategy: Exciting Prospects for Improved Vaccination", Immunol. Today, 21(4): 163-165 (April, 2000)	
	AX	HANKE et al., "Development of a DNA-MVA/HIV A Vaccine for Kenya", Vaccine, 20(15): 1995-1998 (May 6, 2002)	
	AY	AMARA et al., "Control of Mucosal Challenge and Prevention of AIDS by a Multiprotein DNA/MVA Vaccine", Vaccine, 20(15): 1949-1955 (May, 2002)	
		WEE et al., "A DNA/MVA-Based Candidate Human Immunodeficiency Virus Vaccine for Kenya Induces Multi-Specific T Cell Response in Rhesus Macaques", J. General Virology, 83:75-80 (January, 2002)	-

	Examiner	
	Signature	Date
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STATEMENT BY APPLICANT				First Named Inventor	Eldridge et al.	
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Sheet	3	of	5	Attorney Docket Number	AM-101319	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item, (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	AAR	AMARA et al., "Control of a Mucosal Challenge and Prevention of AIDS by a Multiprotein DNA/MVA Vaccine", Science, 292(5514):69-74 (April 6, 2001)	
	AAS	MESEDA et al., "Prime-Boost Immunization with DNA and Modified Vaccinia Virus Ankara Vectors Expressing Herpes Simplex Virus-2 Glycoprotein D Elicits Greater Specific Antibody and Cytokine Responses than DNA Vaccine Alone", J. Infect. Dis., 186(8):1065-73 (October 15, 2002)	
	AAT	AMARA et al., "Different Patterns of Immune Responses by Similar Control of a Simian-Human Immunodeficiency Virus 89.6P Mucosal Challenge by Modified Vaccinia Virus Ankara (MVA) and DNA/MVA Vaccines", J. Virol., 76(15):7625-7631 (August, 2002)	
•	AAU	GONZALO et al., "A Heterologous Prime-Boost Regime Using DNA and Recombinant Vaccinia Virus Expressing the Leishmania infantum P36/LACK Antigen Protects BALB/c Mice from Cutaneous Leishmaniasis", Vaccine, 20(7-8):1226-31 (January 15, 2002)	
	AAV	SCHNEIDER et al., "A Prime-Boost Immunisation Regimen Using DNA Followed by Recombinant Modified Vaccinia Virus Ankara Induces Strong Cellular Immune Responses Against the Plasmodium Falciparum TRAP antigen in Chimpanzees", Vaccine, 19(32):4595-602 (September 14, 2001)	
	AAW	HEL et al., "Potentiation of Simian Immunodeficiency Virus (SIV)-Specific CD4 ⁺ and CD8 ⁺ T Cell Responses by a DNA-SIV and NYVAC-SIV Prime-Boost Regimen", J. Immunol, 167: 7180-7191 (December 15, 2001)	

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